PTO/SB/17 (12-04v2) Approved for use through 7/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE er the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known Effective on 12/08/2004. 08/737904-Conf. #5732 rsuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). **Application Number** TRANSMITTAL November 20, 1996 Filing Date First Named Inventor Irwin J. GRIFFITH **For FY 2005 Examiner Name** R. B. Schwadron Applicant claims small entity status. See 37 CFR 1.27 1644 Art Unit TOTAL AMOUNT OF PAYMENT IMI-040CP3 120.00 Attorney Docket No. METHOD OF PAYMENT (check all that apply) Check Credit Card Money Order None Other (please identify): x Deposit Account Deposit Account Number: 12-0080 Deposit Account Name: Lahive & Cockfield, LLP For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayment of Credit any overpayments fee(s) under 37 CFR 1.16 and 1.17 **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **FILING FEES SEARCH FEES EXAMINATION FEES Small Entity Small Entity** Small Entity Application Type Fee (\$) Fee (\$) Fees Paid (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) Utility 100 300 150 500 250 200 Design 200 100 100 50 130 65 Plant 200 100 300 150 160 80 300 500 250 600 300 Reissue 150 200 Provisional 100 0 2. EXCESS CLAIM FEES **Small Entity** Fee (\$) Fee (\$) Fee Description Each claim over 20 (including Reissues) 50 25 Each independent claim over 3 (including Reissues) 200 100 Multiple dependent claims 360 180 **Total Claims Extra Claims** Fee Paid (\$) Multiple Dependent Claims Fee Paid (\$) Fee (\$) **Extra Claims** Fee Paid (\$) Indep. Claims Fee (\$) 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Number of each additional 50 or fraction thereof Fee Paid (\$) **Total Sheets** Extra Sheets Fee (\$) - 100 = /50 (round up to a whole number) x 4. OTHER FEE(S) Fees Paid (\$) Non-English Specification, \$130 fee (no small entity discount) Other (e.g., late filing surcharge): 1251 Extension for response within first month 120.00

SUBMITTED BY							
Signature	Daylan	no c	ase	Registration No. (Attorney/Agent)	46,488	Telephone	(617) 227-7400
Name (Print/Type)	Hathaway Pe	ease				Date	July 7, 2005
		<del>/                                    </del>					

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Dated: July 7, 2005

Signature

Haval Case (Hathaway Pease)

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# **TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

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Application Number	08/737904-Conf. #5732				
Filing Date	November 20, 1996				
First Named Inventor	Irwin J. GRIFFITH				
Art Unit	1644				
Examiner Name	R. B. Schwadron				
Attorney Docket Number	IMI-040CP3				

ENCLOSURES (Check all that apply)						
X Fee Transmittal Form	Drawing(s)	After Allowance Communication to TC				
Fee Attached	Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences				
Amendment/Reply	Petition	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)				
After Final	Petition to Convert to a Provisional Application	Proprietary Information				
Affidavits/declaration(s)	Power of Attorney, Revocation Change of Correspondence Addre	ss Status Letter				
x Extension of Time Request	Terminal Disclaimer	X Other Enclosure(s) (please Identify below):				
Express Abandonment Request	Request for Refund	Response to Notice to Comply with Requirements (2 pgs.); Copy of Notice to Comply with Requirements (1 pg.); Paper Copy				
Information Disclosure Statement	CD, Number of CD(s)					
Certified Copy of Priority Document(s)	Landscape Table on CD	of Sequence Listing (19 pgs.); ,(Return Receipt Postcard				
Reply to Missing Parts/ Incomplete Application	Remarks					
Reply to Missing Parts under 37 CFR 1.52 or 1.53						
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
Firm Name LAHIVE & COCKFII	LAHIVE & COCKFIELD, LLP					
Signature						
Printed name Hathaway Pease	Hathaway Pease					
Date July 7, 2005	Reg.	No. 46,488				

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shown below.	$\wedge$	1					

Dated: July 7, 2005

(Hathaway Pease)

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Docket No.: IMI-040CP3

(PATENT)

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Irwin J. Griffith et al.

Application No.: 08/737904 Confirmation No.: 5732

Filed: November 20, 1996 Art Unit: 1644

(Hathaway Pease)

For: T CELL EPITOPES OF RYEGRASS POLLEN Examiner: R. B. Schwadron

ALLERGEN

RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATION CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

MS Sequence Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

The U.S. Patent and Trademark Office has taken the position that the previous Sequence Listing does not comply with the requirements of Section 1.821 through 1.825 for the stated reason that the "sequence listing in paper copy and CRF lack reference to parent application PCT US94/09024 in mandatory section <150>." Submitted herewith in connection with the above-referenced patent application is a substitute, computer readable copy together with a paper copy of the Sequence Listing that corrects the aforementioned defect. No new matter has been added to the substitute Sequence Listing.

Applicants respectfully request the above-referenced patent application be placed upon the files for examination.

Application No.: 08/737904 Docket No.: IMI-040CP3

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 12-0080, under Order No. IMI-040CP3. A duplicate copy of this paper is enclosed.

Dated: July 7, 2005

Respectfully submitted,

Hathaway Pease

Registration No.: 46,488

LAHIVE & COCKFIELD, LLP

28 State Street

Boston, Massachusetts 02109

(617) 227-7400

(617) 742-4214 (Fax)

Attorney/Agent For Applicants

## Application No. Applicant(s) 08/737904 Griffith et al. **Notice to Comply** Examiner Art Unit Ron Schwadron. 1644 Ph.D. NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE SISCLOSURES Applicant must file the items indicated below within the time period set the Office action to which the Notice is attached to avoid abandonment under 35 U.S.C. § 133 (extensions of time may be obtained under the provisions of 37 CFR 1.136(a)). The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s): 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c). 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d). ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable from of the "Sequence Listing" as required by 37 C.F.R. 1.821(e). 7. Other: see enclosed communication Applicant Must Provide: An initial or substitute computer readable form (CRF) copy of the "Sequence Listing". An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification. A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d). For questions regarding compliance to these requirements, please contact: For Rules Interpretation, call (703) 308-4216 or (703) 308-2923 For CRF Submission Help, call (703) 308-4212 or 308-2923 Patentin Software Program Support

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Docket No.: IMI-040CP3

(PATENT)

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Irwin J. Griffith et al.

Application No.: 08/737904

Confirmation No.: 5732

Filed: November 20, 1996

Art Unit: 1644

For: T CELL EPITOPES OF RYEGRASS POLLEN

Examiner: R. B. Schwadron

ALLERGEN

### LETTER REGARDING DISKETTE CONTAINING SEQUENCE LISTING

MS Sequence Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed please find a diskette containing a computer readable form of the Sequence Listing for the present application. The material on this diskette is identical in substance to the Sequence Listing appearing on pages 1-19 enclosed herewith. No new matter has been added.

Applicants believe no fee is due with this statement. However, if a fee is due, please charge our Deposit Account No. 12-0080, under Order No. IMI-040CP3 from which the undersigned is authorized to draw.

Dated: July 7, 2005

Respectfully submitted,

Hathaway Pease Registration No.: 46,488

LAHIVE & COCKFIELD, LLP

28 State Street

Boston, Massachusetts 02109

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Attorney/Agent For Applicants

The PTO did not receive the following listed Item(s)